



The New Hampshire Department of Insurance conducts an annual review of the financial and marketing documents of all companies that desire to be considered for approved surplus lines activity, including those companies, which are currently listed. An attached schedule itemizes the documents, which are required, and the respective due dates.

**COMPANIES NOT COMPLYING WITH THE FILING REQUIREMENTS WILL NOT BE CONSIDERED FOR THIS REVIEW AND WILL BE REMOVED FROM THE APPROVED LIST.**

All documents listed on the accompanying schedule must be addressed to:

NEW HAMPSHIRE INSURANCE DEPARTMENT  
EXAMINATION DIVISION  
21 S FRUIT ST., SUITE 14  
CONCORD NH 03301

Annual Statements and Quarterly Statements must be stamped "**Surplus Lines Insurer**"

Should you need additional information, please e-mail [Mary Verville](#)

**STATE OF NEW HAMPSHIRE  
DEPARTMENT OF INSURANCE  
21 SOUTH FRUIT STREET, SUITE 14  
CONCORD, NEW HAMPSHIRE 03301**

**APPLICATION FOR COMPANIES REQUESTING SURPLUS LINES AUTHORITY**

Application is hereby made on behalf of the company herein named to be included in the annual review of companies applying for Surplus Lines Authority.

Name of Company	_____
Mailing Address	_____
City, State & zip code	_____
NAIC Group Code	_____
NAIC Company Code	_____
Federal Tax Identification Number	_____
Contact Person	_____
e-mail address	_____
Phone Number	_____

***Please complete this form and return with the company's 2006 Annual Statement and a check in the amount of \$250 made payable to: TREASURER, STATE OF NEW HAMPSHIRE by March 15, 2007.***

The review process takes place annually during the summer months. All documents required must be received by the appropriate due date. Our normal procedure is to have the companies reviewed and approved or disapproved for inclusion on the revised list with an effective date of October 1<sup>st</sup> each year.

Companies initiating filings after the April 30<sup>th</sup> due date will not be included in the current review process.

September 22, 2006

**STATE OF NEW HAMPSHIRE  
DEPARTMENT OF INSURANCE**

**GENERAL REQUIREMENTS**

- Must provide a non-refundable annual review fee of \$250. Filings not accompanied with this fee will not be reviewed.
- Must have been in business five consecutive years. Annual Statements for the current and four preceding years must have been filed (if applying in NH for the first time).
- Must have minimum policyholders' surplus of \$20,000,000.
- Must be licensed in at least one state having an insurance commissioner. (RSA 405:24).
- Only one company from any affiliated group may be on the approved list at any one time.
- Must be willing to provide a "meaningful" insurance business in New Hampshire. New Hampshire considers a meaningful business as a minimum of \$200,000 in direct premiums written per year.
- Must provide a **minimum** [security deposit](#) of \$500,000 when approved for surplus lines. All security deposits must consist of securities classified as NAIC class #1. See list [of approved banks](#), and [custodial agreement letter](#). Please note that the NH Insurance Department may request the security deposit be increased at any time. Security deposit information is also available on our web site at [www.nh.gov/insurance](http://www.nh.gov/insurance), click on For Companies on the left and then Security Deposit Information.
- Must provide quarterly statements upon being listed.

**DUE DATE: MARCH 15, 2007**

- Annual statement for the year ended December 31, 2006 is due March 15, 2007.
- If applying for the first time, complete Annual Statements for the four prior years must also be provided.
- \$250 Annual review fee.

**STATE OF NEW HAMPSHIRE  
DEPARTMENT OF INSURANCE**

**DUE DATE: APRIL 30, 2007**

- All Annual Statement schedules and attachments, i.e., Actuarial Opinion, Management Discussion, SVO, etc.
- Marketing Plan of Operation including a description of the various products to be offered and the premium volume expected in New Hampshire for three years. This should include coverage's, market plans, marketing materials, types of accounts, market segments, area of specialization and underwriting expertise.
- The most recently completed examination report, if not previously filed.

**DUE DATE: JUNE 1, 2007**

- CPA report for year-end 2006